

# AN1467

## High-Power CC/CV Battery Charger Using an Inverse SEPIC (Zeta) Topology

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### INTRODUCTION

As the number of photovoltaic systems and electric vehicles increases, so does the demand for intelligent, high-power and high-efficiency battery chargers. Most systems on the market today use either lead-acid or lithium type batteries, requiring constant current/constant voltage charging algorithms.

This application note contains the necessary information to build a 100W inverse SEPIC (also called Zeta converter) battery charger. The novelty consists in driving this topology synchronously using Microchip components, essentially pushing the efficiency over 95% at 8A. The Zeta converter has many advantages, such as input to output DC insulation, buck-boost capability and continuous output current, but it is difficult to control.

The control scheme is also interesting, as it uses the Numerically Controlled Oscillator (NCO) peripheral to implement a form of fixed on-time, variable frequency control that allows 15 bits of resolution for the control system. This opens up quite a few new possibilities in low-cost software controlled power supplies.

Finally, since this implementation allows the control of the output voltage and current with a high resolution, it is quite easy to attach multi-chemistry battery charging algorithms to the basic output regulation loop, greatly increasing its usefulness.

The complete implementation of the regulator and charger library uses only 1k words of program space and 55 bytes of RAM.

## THE ZETA CONVERTER

Considered by many designers as an "exotic" topology, the ZETA converter (also known as the inverted SEPIC) offers certain advantages over the classical SEPIC. This topology has the same buck-boost functionality as the SEPIC, but the output current is continuous, providing a clean, low-ripple output voltage make. This low-noise output converter can be used to power certain types of loads, such as LEDs, which are sensitive to the voltage ripple. The ZETA converter offers the same DC isolation between the input and output as the SEPIC converter, and can be used in high-reliability systems.

This topology can also offer high efficiency, especially if the synchronous rectification is used. The synchronous rectification can be easily implemented here, because this topology, unlike the SEPIC converter, uses a low-side rectifier.

The ZETA converter power train is depicted in Figure 1.

### FIGURE 1: THE ZETA CONVERTER POWER TRAIN



The two switches, Q1 and Q2, operate out of phase. As with the SEPIC converter, there are two switching cycles that are presented in Figure 2.

### FIGURE 2: SWITCHING CYCLES OF THE ZETA CONVERTER



In the first cycle, Q1 is closed and the current begins to flow in the primary inductor L1 and through the load via the coupling capacitor C1 and inductor L2. In the second cycle, Q2 is closed and the energy stored in the L2 inductor is delivered to the load. The energy stored in the main inductor L1 will be reset to its initial value through the coupling capacitor C1.

The typical waveforms of the ZETA converter are presented in Figure 3. The continuous current flow on the load is maintained by the output inductor L2. The

voltage across the main switch (Q1) is the sum of the input and output voltages as is the case with the SEPIC converter. The voltage stress across the main switch is higher and can increase the switching losses of Q1.

The two inductors can be magnetically coupled, sharing the same magnetic core. This can greatly reduce the current ripple, as the mutual inductance will double the apparent value of the inductors.



### FIGURE 3: TYPICAL WAVEFORMS FOR THE ZETA CONVERTER

If the converter operates in Continuous Current Mode (CCM) and reaches the steady state, the volt-second balance principle can be applied to determine the DC transfer function (transformation ratio).

### EQUATION 1: STEADY STATE ANALYZE

"On State"	$V_{L1} = V_{IN}$ $V_{L2} = V_{IN} + V_{C1} - V_{OUT}$
"Off State"	$V_{L1} = -V_{C1}$ $V_{L2} = -V_{OUT}$

### EQUATION 2: VOLT-SECOND BALANCE

 $D * V_{IN} - (1 - D) * V_{C1} = 0$  $D * (V_{IN} + V_{C1} - VOUT) - (1 - D) * V_{OUT} = 0$ 

The DC transfer function can be found by solving this system of equations.

 $\mathbf{V}_{OUT} = \frac{D}{1 - D} * \mathbf{V}_{IN}$ 

For a duty cycle (D) lower than 50%, the ZETA performs as a buck converter, and for duty cycle higher than 50%, as the boost converter. As this converter requires high and low side switches, it can be implemented using drivers developed for the synchronous buck converter, like the MCP14628. However, some technical challenges must be solved before using the MCP14628 synchronous buck driver. As can be seen from the waveforms, the main switching node (SW) goes below ground. The typical application for the MCP14628 synchronous buck converter must be modified in order to avoid damage of the chip when the SW node goes below ground.

Figure 4 shows the typical application for the MCP14628 synchronous buck driver, while Figure 5 shows the modified schematic to drive the synchronous ZETA converter.

### FIGURE 4: TYPICAL APPLICATION FOR THE MCP14628 SYNCHRONOUS BUCK DRIVER







The PHASE pin remains connected to the drain of the synchronous rectifier MOSFET (QL), allowing the bootstrap capacitor ( $C_{BOOT}$ ) to be charged during the synchronous rectification state. The gate of the main transistor MOSFET (QH) is now driven through the isolation capacitor CBLK. The gate and source of the main MOSFET can now safely swing below the ground level, without affecting the functionality of the MOSFET driver. Diode D1 and resistor R1 form a DC component restorer circuit, that will center again the drive signal to 2.5V. The loop for the driving current of the high-side MOSFET includes now the ZETA coupling capacitor, C1.

## HARDWARE IMPLEMENTATION





## THE SOFTWARE CONTROL LOOP



```
void main()
   Initialize Hardware();
   while(1)
    {
       if(TOIF)
        {
           TOIF = 0;
           if (second) second--;
           read ADC();
           cc cv mode();
           if(!cmode) pid(vout, vref); else
           pid(iout, iref);
        }
       if(!second)
        {
           second = SECOND COUNT;
           Battery_State_Machine();
       }
    }
```

PIC16F1503 is one of the enhanced core devices, which benefit from the recent line of peripherals, such as the NCO (Numerically Controlled Oscillator), CWG (Complementary Wave Generator) or CLC (Configurable Logic Cell). To implement a software-controlled regulation loop, the user needs:

- 2 ADC inputs (10-bit or better recommended) for monitoring output voltage and current.
- 1 NCO output for the converter control signal
- 1 Timer for the main timer tick
- (optional) LED signaling, serial interface for logging and button

The main control loop is based on TIMER0, which is configured to overflow 4MHz/256/16 = 976.56 times per second, using the 1:16 prescaler. This is the timer tick used for the PI loop.

Every timer period, output voltage (VOUT) and current (IOUT) is read (4 samples each) and stored. Since there is only one regulation loop, the firmware must decide if it needs to regulate the current or voltage at a certain time. The decision is simple enough: if the read voltage is over the set voltage reference, the converter will limit the voltage; if the read current is over the set current reference, then the converter will limit the current.

The function  $cc\_cv\_mode()$  takes care of the transitions between the two working modes, and the variable cmode shows whether the current or voltage is currently regulated. This variable is also important for the topping stage of the battery charging algorithms. The function also uses a fast debouncing counter, that prevents erratic jumping between the constant voltage and Constant Current mode in boundary conditions.

Regulating the voltage or current is very simple. Depending on the value of cmode, the PI function is called with different parameters:

-pid(vout, vref) for voltage or

-pid(iout, iref) for current

The variables vref and iref are the output voltage and current limits. The special macros SET\_VOLTAGE(x) and SET\_CURRENT(x), which modify these variables, allow the state machine to change the charging parameters transparently.

The PI function operates on the NCO increment, practically varying the duty cycle by varying the frequency. The NCO operates in Pulse Frequency mode configured for a 2 µsec pulse. This means the converter operates using a fixed on-time, the duty cycle raises proportionally with the frequency, and the on-time value allows 15 bits of frequency resolution. At 500 kHz, we have 100% duty cycle, so the maximum increment value is clamped to 29500 (out of 32768), which gives 450 kHz or 90% duty.

## THE CC/CV CHARGER LIBRARY

Except for Ni-MH (and Ni-Cd), all the popular battery chemistries on the market today use a form of constant current, followed by a constant voltage charging (or constant voltage with current limit) algorithm. Since the hardware presented in this application note is capable of regulating output voltage and current, using it for this purpose comes only naturally. Also, one of the biggest problems in synchronous chargers, the battery reversal current, is solved by the driver diode emulation feature.

The converter's maximum current is 8A, so it is somewhat impractical to use it for very small batteries (current shunt amplifier output for 50 mA or less is close to the noise floor of the ADC). Also, because this implementation has no burst mode at very low duty cycles, the output ripple increases. It is best used with lead-acid and lithium type batteries with capacities over 4 Ah (also probably not useful on batteries bigger than 80 Ah).

## MULTI-STEP CHARGING

Properly charging the batteries requires multiple steps and specific mechanisms for each chemistry. Lead-acid batteries have generally a more sluggish behavior when charging, and the process will take longer. It is not recommended to charge using currents over C/5, or the topping charge portion may not be complete when the current threshold triggers the end of the charge. Also, lead-acid batteries may be placed in floating charge for extended periods of time.

Li-lon chemistries have different charging requirements, in the sense that they will not absorb the overcharge, so the current flow must be cut as soon as the battery is full. The optimum charging current is C/2, for a good balance between the charge time and the battery life cycle. Deeply depleted cells have to be trickle-charged until the cut-off threshold is reached. Some Li-lon chemistries are more resilient and forgiving to abuse than others. LiFePO4 is one such example.

Ni-Zn cells are similar to Li-Ion. No float charge should be applied, and deeply depleted cells need to be trickled back to life.

All these particularities need to be implemented in the charger state machine and a set of charging parameters written for each chemistry type.

Chemistry	Pre-charge	Charge	Float
Lead-acid	No, if under 1.75V per cell for extended periods replace it.	2.4V/cell to C/40 current	Yes, 2.25V/cell
Li-Co	Yes. C/10 to 3.0V	4.2V/cell to C/33 (3%)	No
LiFePO4	Yes. C/10 to 2.7V (2.5V for some variants)	3.65V/cell to C/33 (3%)	No
Ni-Zn	Yes. C/10 to 1.3V	1.9V/cell to C/33 (3%)	No

### TABLE 1: BATTERY CHEMISTRIES

Big (to huge) batteries or cells take a long time to relax

from the charging voltage to the open circuit voltage, so

it may be unfeasible to stop charging to read the OCV

(open circuit voltage) from time to time. For example,

even a small 3-cell 4Ah lead-acid battery takes 2-3

seconds to relax from 7.2V to 6.75V, when switching to

### FIRMWARE STRUCTURE

Because all the voltage and current regulating functions are in the main program loop, the battery charging state machine is only called every second and it only needs to make decisions based on the output voltage and current values. Depending on the current charging state and chemistry type, it will set the voltage and current limit.

FIGURE 7: CC/CV CHARGER LIBRARY FILE STRUCTURE



float charge.

### THE MAIN LOOP AND HARDWARE.H

All the output regulating functions should be placed here. Because the charger state machine itself is largely hardware independent, it does not matter how the output regulation is done (in this case, a simple Pl software loop).

There are still some requirements that need to be met for the battery state machine to function properly.

Besides the obvious requirement to call the state machine every second, different parameters and macros need to be available to the state machine code. For this implementation, all values are 12 bits (4 x 10-bit ADC readings). Using a different type of ADC or more samples requires changing all the related parameters and each battery chemistry header file.

- VSENSE and ISENSE will contain updated values of the output voltage and current. They can be defined as macros or return functions.

```
#define VSENSE vout
#define ISENSE iout
- SET_VOLTAGE(x) and SET_CURRENT(x)
will get the genuenter maximum output yelt
```

will set the converter maximum output voltage and current. They can be defined as macros or functions.

```
#define SET_VOLTAGE(x) { vref = x; }
```

#define SET\_CURRENT(x) { iref = x; }

- SET\_LED\_BLINK (x) sets the LED state and blink rate to show the current battery charging state.

#define SET\_LED\_BLINK(x) { led\_state = x; }

CONSTANT\_VOLTAGE should show whether the converter is regulating the output voltage or not. This is important for the state machine, because the minimum current and flat current charge termination should only be initiated in Constant Voltage mode.

#define CONSTANT\_VOLTAGE (!cmode)

- I\_BAT\_DETECT is a minimum current reading that shows a missing battery/load. The value depends on the current shunt amplifier offset and amplification. It is useful for detecting that the battery has been removed during charge, since there is no OCV measurement.

#define I\_BAT\_DETECT 16

## BATTERYCHARGER.H

### The Charger State Machine





Please note that the state machine has been designed with basic functionality and simplicity in mind, and it may be further improved depending on the final application.

There are 6 states:

- **PRECHARGE** will charge using a low current setting (usually C/10) until the voltage exceeds the defined cut-off voltage. This is necessary for Li-Ion chemistries and for Ni-Zn. Lead-acid type batteries should not need this, and the trickle current may be the same as the full charge current. In this case, the battery will switch to CHARGE after one state machine update (1 second).
- CHARGE will charge using the defined full current setting. Once the converter goes into Constant Voltage mode, the state machine starts monitoring output current. When the minimum output current threshold has been reached or the current does not decrease for a certain time (flat current), the state machine switches to either FLOAT (for lead-acid) or DONE for the rest of the chemistries. If the stop current was below the battery detection threshold, it will go directly to IDLE (battery removed). This is useful to quickly stop charging if there is no battery. If a time out occurs before any of these conditions are triggered, then the state machine will switch to FAULT.

- FLOAT works in Constant Voltage mode (typically 2.25V/cell). It is necessary for lead-acid batteries to maintain full charge (counter battery self-discharge). This state has a time out, which switches to DONE when it expires. After a defined battery relaxation period, it checks the battery current and, if it is below the battery detection threshold, it switches to IDLE. The "relaxation" period is necessary after switching from the charging voltage to the lower, floating voltage. Until the battery voltage relaxes, there will be no current flowing to the battery and the state machine might incorrectly detect that the battery has been removed. The bigger the battery (capacity), the slower the relaxation.
- DONE is the final state for fully charged batteries. The converter is stopped, but, if a special STANDBY\_MODE is enabled, then this state will monitor the OC voltage of the battery and jump back to CHARGE, when it drops too much. This way all types of batteries can be maintained near full charge for extended periods of time. This even works for Li-lon, if the voltage threshold is chosen carefully. If STANDBY\_MODE is not enabled, this state will switch automatically to IDLE.
- IDLE stops the converter and does not do anything, except wait for user input. A button press will re-initialize the state machine and start the charging process. To automate the process, it is simple enough to add output voltage monitoring that will start charging when a battery is connected.
- FAULT stops the converter and waits for the user input. A button press will change the state to IDLE.

One LED is used to signal the current charger state to the user. The LED on/off states and blinking rates are defined in *Hardware.h* (blinking rate depends on the timer tick period). Each charger state sets the LED behavior:

- LED off IDLE state
- LED blinking 0.5Hz PRECHARGE and CHARGE states
- LED on FLOAT and DONE states
- LED blinking 2 Hz FAULT state

### **Charger Variables and Functions**

The charger needs a few variables to keep track of the battery parameters. Most of the variables are internal, but some of them are also available to the main program loop.

 battery\_state – holds the current charging state of the battery charger. Since the charger accepts only one battery, there is no reason to differentiate between the state of the charger and the state of the battery. This variable is available to the main program loop.

enum charge\_states { IDLE = 0, FAULT = 1, DONE = 2, PRECHARGE = 3, CHARGE = 4, FLOAT = 5 };

- state\_counter is used as a time-out counter for certain states:
  - PRECHARGE will switch to FAULT on time
     out
  - CHARGE will switch to FAULT on time out
  - · FLOAT will switch to DONE on time out
- imin is the minimum current value recorded during the constant voltage phase of the CHARGE state. When the value of this variable falls below the minimum current threshold (calculated as a fraction of the battery capacity), the topping charge is done.
- imin\_db is the minimum current debouncing counter. When the value of ISENSE is smaller than imin for IMIN\_UPDATE times in a row, imin is updated with the value of ISENSE
- iflat\_db is the flat current debouncing counter. This counter is reset every time imin is updated. When it reaches zero, it triggers an end of charge condition.

The charger library has two functions:

- Init\_State\_Machine() will initialize the state machine debouncing and time-out counters, set the charging voltage and current limits and start the converter. This function should be called when starting to charge from IDLE (basically, a new battery is inserted).
- 2. Battery\_State\_Machine() contains the code for each of the charger/battery state machine and will handle state transitions based on the measured current and voltage values. This function expects to be called every second, otherwise the time-out counters will measure a different interval.

## THE BATTERY CHEMISTRY DEFINITION FILES

*Lead-acid.h*, *LiCo.h*, *LiFePO.h* and *NiZn.h* contain example definitions for charging these chemistries.

As mentioned before, the values in the definition files depend on the charger hardware implementation and the number of ADC samples taken on each measurement. In this case, we have a 10-bit ADC with a 5V reference, and 4 samples are taken for every measurement. The output current shunt is 5 mOhms, amplified 101 times. The output voltage divider is 1⁄4.

### EQUATION 4: VOLTAGE CALCULATION EXAMPLE FOR 7.2V

 $Voltage_{COUNTS} = \frac{Voltage}{Output_{divider} * ADC_{reference}} * ADC_{max}$ 

Counts = 7.2V / 4 / 5V \* 4096 = 1474.56

### EQUATION 5: CURRENT CALCULATION EXAMPLE FOR 8000 MA

 $Current_{COUNTS} = \frac{Current * Shunt_{value} * Amplification}{ADC_{reference}} * ADC_{max}$ 

Counts = 6A \* 0.005Ω \* 101 / 5V \* 4096 = 2482.17

The lead-acid and LiFePO4 headers are shown as an example for charging parameter calculation. The first is an automotive type 60 Ah battery, and the second is a 20 Ah LiFePO4 cell.

For smaller batteries, the current shunt and amplification should be sized accordingly. A small 1800 mAh 18650-type cells has an end-of-charge current of just 55 mA. Because of the battery current shunt value, which is sized for the 8A output limit, it is hard to work with low currents. The 55 mA threshold translates to 23 ADC counts (oversampled 4x), and is dangerously close to the noise floor.

### EXAMPLE 2: LEAD-ACID BATTERY SPECIFIC PARAMETERS

//Lead-acid battery specific parameters #define PRESET1 11 1.75V per cell cutoff voltage 11 2.10V per cell charged OCV voltage 11 2.25V per cell floating voltage 2.40V per cell charging voltage 11 #ifdef PRESET1 #define IFLOAT 496 //1.2A, C/50 (2%) minimum charging current #endif #define BATTERY\_STANDBY\_MODE #define PRECHARGE\_TIME 600 #define CHARGE TIME 57600 //16 hours #define FLOAT TIME 43200 #define FLOAT\_RELAX\_TIME (FLOAT\_TIME - 60) #define IFLAT\_COUNT 600 Lead-acid batteries have a few parameters that are - IFLOAT is used instead of ISTOP to set the

different:

- LA\_3CELL or LA6\_CELL is just a define that shows how many cells the battery has
- FLOATING\_VOLTAGE is used for setting the float charge voltage
- IFLOAT IS used instead of ISTOP to set the current threshold that will trigger the end of charge (and the switching to float charge). The value is in ADC counts (calculated using Equation 5)
- FLOAT\_TIME is the floating charge state period in seconds.

 FLOAT\_RELAX\_TIME is a blanking time (in seconds) at the beginning of the floating charge state needed for the battery to relax from the previous, higher, voltage used in the charge state. Without this, the charger detects no current flowing through the battery and switches to IDLE immediately.

### EXAMPLE 3: LiFePO<sub>4</sub> BATTERY PARAMETERS

```
// LiFePO4 battery parameters
#define PRESET1
11
       2.7V per cell cutoff voltage (2.5V under heavy load)
11
       3.4V+ per cell charged OCV voltage
11
       3.65V per cell charging voltage
#ifdef PRESET1
   #define CAPACITY
                                20000
   #define CHARGING_VOLTAGE 748 //3.65V
   #define TOPPING_VOLTAGE
                              696 //3.40V
   #define CUTOFF_VOLTAGE
                               553 //2.70V
   #define ILIM_PRECHARGE
                              827
                                       //2A, C/10
                                3310
   #define ILIM
                                       //8A, C/2.5, hardware limit
   #define ISTOP
                                251
                                       //606mA, C/33 (3%) minimum charging current
#endif
#define BATTERY STANDBY MODE
#define PRECHARGE TIME 600
#define CHARGE TIME
                      14400
#define IFLAT COUNT
                       600
```

Lithium type cells and Ni-Zn will not accept overcharge, so the floating charge-related parameters are removed. Also, IFLOAT is replaced by ISTOP as the end of charge minimum current threshold.

The rest of the parameters are common to all types of cells/batteries.

- CAPACITY is the battery capacity in mAh. It is useful to calculate the charge current limit and end-of-charge current limit
- CHARGING\_VOLTAGE is the charging voltage limit in ADC counts, calculated with the formula in Equation 4.
- TOPPING\_VOLTAGE is the open circuit voltage below which the charger will start charging again if BATTERY\_STANDBY\_MODE is defined. The value is the number of ADC counts (the same as the charging voltage)
- CUTOFF\_VOLTAGE is the low voltage limit of the battery. If battery is below this value when connected to charge, it will be trickle-charged with a low current setting.
- ILIM is the charging current limit in ADC counts, calculated with the formula in Equation 5.

- ILIM\_PRECHARGE is the trickle charge current for PRECHARGE (calculated the same way as the charging current)
- IFLOAT/ISTOP is the minimum current limit for the charging phase. It is also calculated using Equation 5.
- BATTERY\_STANDBY\_MODE is a parameter that alters the behavior of the charger state machine after the charging process is finished. Normally, at the end of the floating charge phase (for lead-acid) and or charge phase (for lithium and Ni-Zn), the charger goes to IDLE. If Standby mode is defined, then the charger stays in the DONE state and monitors the OC voltage of the battery. If the voltage drops below TOPOFF\_VOLTAGE, it starts charging again from the CHARGE state.
- PRECHARGE\_TIME is the maximum pre-charge time in seconds. When the timer expires, the charge goes into Fault mode.

- CHARGE\_TIME is the maximum charging time in seconds. When the timer expires, the charger goes into Fault mode. Both timers should be approximated using the battery capacity, charge current and specific chemistry behavior.
- IFLAT\_COUNT is a flat current counter used to end charge in case the battery has higher leakage than normal, and the charging current will not go below the normal end-of-charge current limit. The counter is re-initialized every time a new current minimum is recorded, otherwise it is decremented. When the counter reaches zero, it means that, for a number of seconds equal to the initialization value, no new minimum has been recorded. Basically, the battery charge current has not decreased for a long time.

Please note that, even though the charging algorithm is correct for many types of batteries, it is impossible to account for all variants and particularities. While this application note, hardware and firmware provide a nice starting point for high-power, intelligent charger applications, it is the user's responsibility to work with the battery manufacturer to get the optimum charging algorithms and parameters.

## CONCLUSION

The SEPIC/inverse SEPIC (Zeta) converters are very attractive because of the input to output DC insulation, and the ability to generate output voltages, either lower or higher than the input. Unfortunately, it is quite complicated to drive these topologies synchronously, generally limiting their use in high-power applications.

Using the MCP14628 and a few extra components, a 100W synchronous Zeta converter was designed and built with an achieved efficiency of 95%. The phase lag between the input and output denies the use of pulse-by-pulse control techniques, but the new NCO peripheral available on the PIC16 family has opened some new options, previously available only to DSP type controllers.

The CC/CV charger library makes use of this hardware to easily implement robust charging algorithms for a number of popular battery types, such as lead-acid, lithium-cobalt, lithium-manganese, lithium iron phosphate, and nickel-zinc, thus making it a must-have in all battery operated devices.

## REFERENCES

- PIC16(L)F1503 14-Pin Flash, 8-Bit MCU Data Sheet: http://ww1.microchip.com/downloads/en/DeviceDoc/41607A.pdf
- 2A Synchronous Buck Power MOSFET Driver: <u>http://ww1.microchip.com/downloads/en/DeviceDoc/22083a.pdf</u>
- MCP6V01/2/3 Data Sheet: http://ww1.microchip.com/downloads/en/DeviceDoc/22058c.pdf
- MCP1790/MCP1791 Data Sheet: http://ww1.microchip.com/downloads/en/DeviceDoc/22075b.pdf

## APPENDIX A: REVISION HISTORY

## Revision A (10/2012)

Initial Release.

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